## DECLARATION FOR UTILITY PATENT APPLICATION

## AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: SUPERCONDUCTING HEAT TRANSFER MEDIUM, the specification of which is attached hereto unless the following box is checked:

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
PCT/US97/18876	PCT	October 24, 1997	<b>坚</b> Yes □No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

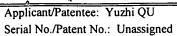
Application Serial No.	Filing Date
60/029,266	October 26, 1996
60/036,043	January 25, 1997

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status		·
		□Patented	□Pending	□Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date Name: Yuzhi QU
Residence: P.O. Box 611010, San Jose, California 95161, United States of America
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Filed on/Issued: Herewith

For: SUPERCONDUCTING HEAT TRANSFER MEDIUM

## Docket No.: 458172000100

## VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS 37 C.F.R. §§ 1.9(f) AND 1.27(c) — SMALL BUSINESS CONCERN

37 C.F.F	R. §§ 1.9(f) AND 1.27(c) — SMALL BUSINESS	CONCERN
I hereby declare that I am		·
the owner of the small business an official of the small business	concern identified below: concern empowered to act on behalf of the concer	n identified below:
NAME OF CONCERN: New Qu F	Heat Conducting Research & Development (Dalian	) Limited
ADDRESS OF CONCERN: No. 43	3, Fushun Street, Shenyang Road, E.T.Z., Dalian, C	China 116600
reproduced in 37 C.F.R. § 1.9(d), for purposes employees of the concern, including those of its of the business concern is the average over the during each of the pay periods of the fiscal year	ified small business concern qualifies as a small busin of paying reduced fees to the United States Patent an s affiliates, does not exceed 500 persons. For purpos previous fiscal year of the concern of the persons em r, and (2) concerns are affiliates of each other when e rty or parties controls or has the power to control both	d Trademark Office, in that the number of ses of this statement, (1) the number of employees aployed on a full-time, part-time or temporary basis either, directly or indirectly, one concern controls of
I hereby declare that rights under contract or late invention, entitled SUPERCONDUCTING described in	w have been conveyed to and remain with the small line HEAT TRANSFER MEDIUM by inventor(s) Yuzh	business concern identified above with regard to ai QU
the specification filed herewith the application identified above. the patent identified above.	with title as listed above.	·
the invention must file separate verified states person, other than the inventor, who would no	tified business concern are not exclusive, each indi ments averring to their status as small entities, and ot qualify as an independent inventor under 37 C.F. small business concern under 37 C.F.R. § 1.9(d), or	no rights to the invention are held by any f.R. § 1.9(c) if that person made the invention, or
Each person, concern or organization	n having any rights in the invention is listed below:	
iii II no such person, conce	ern, or organization exists.	
each such person, con	cern or organization is listed below.	•
NAME	ADDRESS	ТУРЕ
	1	☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization
Separate verified statements are requistatus as small entities. (37 C.F.R. § 1.27)	nired from each named person, concern or organization.	on having rights to the invention averring to their
I acknowledge the duty to file, in this status prior to paying, or at the time of paying, no longer appropriate. (37 C.F.R. § 1.28(b))	s application or patent, notification or any change in s the earliest of the issue fee or any maintenance fee do	status resulting in loss of entitlement to small entity ue after the date on which status as a small entity is
are believed to be true; and further that these punishable by fine or imprisonment, or both,	made herein of my own knowledge are true and th statements were made with the knowledge that wil under section 1001 of Title 18 of the United States a, any patent issuing thereon, or any patent to which	Ilful false statements and the like so made are a Code, and that such willful false statements
NAME OF PERSON SIGNING: TITLE OF PERSON IF OTHER THAN OW ADDRESS OF PERSON SIGNING:	Patrick Law NER: No. 43, Fushun Street, Shenyang Road, E.7	Γ.Z., Dalian, China 116600
SIGNATURE:	DATI	E:

PTO/SB/10 (10-92) pa-516260